

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shi-Lung LIN, of Alhambra and Shao-Yao YING, of San Marino, both of California, USA

Serial No: Not Assigned

Filed: September 16, 2003

For: RNA-Mediated Gene Modulation

22389 U.S. PTO
10/663875
09/16/03

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021

Transmitted herewith for filing is the utility patent application identified above, claiming priority of U.S. Provisional Application Serial No. 60/411,062, filed September 16, 2002 and U.S. Provisional Application Serial No. 60/418,405, filed October 12, 2002:

- ☒ 11 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
☒ 44 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
☒ An assignment of the invention to University of Southern California ☐ is enclosed ☒ will follow.
☐ An associate power of attorney ☐ is enclosed ☐ will follow.
☐ Applicant claims small entity status. See 37 CFR 1.27.
☒ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
☐ A certified copy of ___ Patent Application No. ___ filed ___ from which priority is claimed under 35 U.S.C. § 119 is enclosed.
☐ IDS enclosed (☐ with ___ reference(s)).
☐ Preliminary Amendment is enclosed.
☐ Application data sheet is enclosed. See 37 CFR 1.76.
☒ Return postcard is enclosed.

Return postcard is enclosed.

CALCULATION OF FEES									
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FEE□	57	-20	37	LG=\$18 SM=\$9	\$9	333.00		
B	INDEPENDENT CLAIMS FEE*	9	-3	6	LG=\$84 SM=\$42	\$42	252.00		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							585.00	
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0	
E	BASIC FEE					LARGE ENTITY FEE = \$750 SMALL ENTITY FEE = \$375		\$ 375.00	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 960.00	
G	ASSIGNMENT RECORDING FEE							\$ 40	\$
*LIST INDEPENDENT CLAIMS 1, 7, 8, 25, 32, 39, 47, 48, and 49									

- ☒ Please charge my Deposit Account No. 50-1314 the amount of \$960.00. A copy of this letter is enclosed.
☐ A check in the amount of \$___ to cover the filing fee is enclosed.
☐ A check in the amount of \$___ to cover Assignment Recordation fee is enclosed.
☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
☒ Any additional filing fees required under 37 C.F.R. 1.16
☒ Any patent application processing fees under 37 C.F.R. 1.17

Date: September 16, 2003

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Respectfully submitted,
HOGAN & HARTSON L.L.P.

By:

William E. Thomson, Jr.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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LIN, et al.

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Art Unit: Not Assigned

Examiner: Not Assigned

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Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

☒ two copies of a letter of transmittal

☒ patent application (44 page(s) of specification; 57 claim(s); 1 page(s) of abstract

☒ 11 sheet(s) of formal drawings

☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PATENT APPLICATION

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Date: September 16, 2003

Hogan & Hartson, LLP

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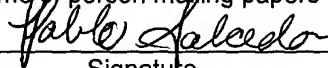
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Name of person mailing papers



Signature